



Office of Disciplinary Counsel
2016 ANNUAL REPORT

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A MESSAGE FROM DISCIPLINARY COUNSEL

Dear Chief Justice O'Connor and Honorable Justices of the Supreme Court:

In accordance with Rule V, Section 4(D) of the Supreme Court Rules for the Government of the Bar of Ohio, I respectfully submit for your consideration the 2016 Annual Report of the Office of Disciplinary Counsel.

In 2016, the Office of Disciplinary Counsel filed 39 complaints that were certified by the Board of Professional Conduct. The Office also processed the retirements or resignations with disciplinary charges pending of an additional 38 Ohio lawyers and disposed of 2,054 grievances during 2016. The Office's investigative caseload at the end of 2016 was 564 cases, the lowest year-end figure since 2005.

Additionally, in further implementation of the Court's amendment to Gov.Bar R. V(5) (D) (1) (e), the Office of Disciplinary Counsel continued to present training programs for bar counsel and volunteer grievance committee members who are designated trial counsel of record in cases prosecuted before the Board of Professional Conduct. Since the office began conducting training sessions in September 2015, more than 350 bar counsel and certified grievance committee members have been certified as having completed the training.

In addition, as part of its educational outreach program, attorneys from the Office of Disciplinary Counsel made presentations at 39 separate programs and events during 2016, with the undersigned disciplinary counsel and the chief assistant disciplinary counsel, Joe Caligiuri, each making 15 presentations, not including the bar counsel/certified grievance committee training programs. Moreover, telephonic and email advice and guidance on questions of legal ethics and professional conduct is available on a daily basis to Ohio judges and lawyers. During 2016, ODC lawyers responded to more than 650 requests for ethics advice and guidance. The 28-member staff of the Office of Disciplinary Counsel is committed to its public protection mission and to its service to the Ohio Supreme Court, the legal profession, and the public in ensuring that Ohio judges and lawyers are competent and ethical in the performance of their duties.

Sincerely,

A handwritten signature in black ink, appearing to read "Scott J. Drexel". The signature is fluid and cursive, with a large loop at the end.

Scott J. Drexel
Disciplinary Counsel



Assistant Disciplinary Counsel Jennifer Bondurant (far left), examines a witness in a disciplinary proceeding before a hearing panel of the Board of Professional Conduct.

OFFICE OF DISCIPLINARY COUNSEL OF THE SUPREME COURT OF OHIO

The position of disciplinary counsel and the Office of Disciplinary Counsel (“ODC”) were established by the Supreme Court of Ohio, and their duties and responsibilities are set forth in Gov.Bar R. V(3)(B). In accordance with that rule, disciplinary counsel is authorized to investigate allegations of misconduct, mental illness, or substance abuse by lawyers and judges under the Ohio Rules of Professional Conduct, the Code of Judicial Conduct, and rules governing the unauthorized practice of law (“UPL”).

Disciplinary counsel also has the authority, among other things, to (a) initiate complaints with the Board of Professional Conduct (“Board”) based upon its investigations; (b) certify bar counsel designated by certified grievance committees; (c) review the dismissals of grievances by certified grievance committees for abuse of discretion or error of law; (d) develop and offer an education curriculum for bar counsel and certified grievance committee members; (e) review registration forms for the employment of suspended or disqualified lawyers; and (f) investigate the applications of Ohio lawyers to retire or resign from the practice of law and file a confidential sealed report with the Supreme Court recommending whether the application should be granted and, if so, whether it should be approved by the Court as a retirement or as a resignation with disciplinary action pending.

STAFF OVERVIEW

The 28-person staff of the ODC is comprised of the following positions, including the incumbents in those positions during 2016:

Disciplinary Counsel

Scott J. Drexel

Chief Assistant Disciplinary Counsel

Joseph M. Caligiuri

Assistant Disciplinary Counsel

Stacy Beckman¹

Jennifer Bondurant

Michelle Bowman

Dionne DeNunzio

Resigned, effective April 15, 2016

Lia Meehan

Commenced employment, effective June 27, 2016

Karen Osmond

Casey Russo

Donald Scheetz

Amy Stone

Audrey Varwig

Administrative Officer

Joel Kent

Retired, effective July 8, 2016

Jennifer Dennis

Promoted, effective July 10, 2016

Executive Administrative Assistant

Jennifer Dennis

Promoted, effective July 10, 2016

Christine McKrimmon

Promoted, effective Aug. 29, 2016

Legal Research Analysts

Paula Adams

Orsolya Hamar-Hilt

Resigned, effective Sept. 2, 2016

Linda Hardesty-Fish

Retired, effective Oct. 26, 2016

Miriah Lee

Promoted from law clerk, effective Dec. 12, 2016

Investigators

Donald Holtz

Peter Simpson

Administrative Assistant

Laura Johnston

Legal/Administrative Secretaries

Sara Early

Retired, effective Dec. 31, 2016

Karen Loy

Shannon Scheid

Cassandra Kilgore

Commenced employment on Nov. 7, 2016

Receptionist

Elizabeth Reynolds

Clerical Support Staff

Hartland Ruben

Marc Stevens

Resigned, effective April 5, 2016

Sam Simms

Commenced employment on June 13, 2016

The office also contracts with two part-time field investigators who provide investigative services as needed in the northeastern and southwestern portions of Ohio.

¹ Ms. Beckman is part-time and works a four-day-per-week schedule.

SIGNIFICANT OFFICE DEVELOPMENTS

A. Continuing ODC Training Program for Bar Counsel and Members of Certified Grievance Committees Designated as Trial Counsel of Record

Effective Jan. 1, 2015, the Supreme Court adopted Gov.Bar R. V(5)(D)(1)(e), which provides that, on or after Jan. 1, 2016, any bar counsel or volunteer certified grievance committee member who is designated as trial counsel of record in a case prosecuted before the Board of Professional Conduct must have attended and completed a training program offered by Disciplinary Counsel that relates to the preparation and prosecution of formal complaints.

In the fall of 2015, the Office of Disciplinary Counsel conducted eight separate training programs for bar counsel and members of certified grievance committees through the State of Ohio. An additional seven training programs were conducted during 2016 (see box below).

More than 350 bar counsel and members of certified grievance committees have been certified as having completed Disciplinary Counsel's training program.

In 2017, Disciplinary Counsel will begin offering one-hour advanced training programs on specific topics relating to the presentation and prosecution of formal complaints.

2016 TRAINING PROGRAMS

DATE	LOCATION
Jan. 19, 2016	Columbus (Ohio State Bar Association)
May 20, 2016	Cleveland
Sept. 23, 2016	Toledo
Oct. 7, 2016	Columbus (Moyer Judicial Center)
Nov. 3, 2016	Greenville (Darke County)
Dec. 9, 2016	Ashtabula (Erie County)
Dec. 16, 2016	Youngstown (Mahoning County)

B. Electronic Document Management

Commencing in the fall of 2016, the Office of Disciplinary Counsel began analyzing whether a transition to electronic document management would be possible and beneficial. Three members of the office traveled to New York City to meet with the New York State Commission on Judicial Conduct to learn about its system of electronic organization, communication and document management. It was determined that the Office of Disciplinary Counsel's case management software could be modified to support a "paperless" system with the addition of Adobe Acrobat Professional software.

Subsequently, a committee was formed to begin the conversion process. To start, the flow of paper in the office was analyzed throughout the intake process. Analyzing the workflow uncovered inefficiencies, inconsistencies, and disorganization that have been eliminated. Additional inefficiencies and inconsistencies are expected to be uncovered and eliminated as the process continues.

All incoming and outgoing intake material is now scanned and saved electronically in searchable format. Certain tasks, such as applications for retirement or resignation, reciprocal discipline, and appeals from certified grievance committee dismissals also have been successfully converted to a paperless format and are now managed electronically. All administrative personnel are trained to use Adobe Acrobat Professional software. A new process for cataloging all incoming mail is being developed to provide a more accurate account of the volume of material handled at the intake stage. The committee continues to convert the intake process, but significant improvements have already been realized.

The case management software has been, and will continue to be, enhanced. The office no longer is purchasing certain paper and file folder products.

Moreover, the processing of new grievances has been accelerated by the changed workflow. The “paperless” conversion process already has resulted in a significant reduction of required physical storage space and greater availability and searchability of information has occurred. The committee plans to finish converting the intake unit’s processing and procedures to include electronic tracking, staging, and communication. Once that is successfully implemented, the next step will be to develop the “paperless” process for matters in investigation and, ultimately, litigation.

C. Educational Outreach

In disciplinary counsel’s view, educating Ohio lawyers and judges about the requirements and obligations imposed by the Rules of Professional Conduct and the Code of Judicial Conduct serves an important and valuable part of the work of the Office of Disciplinary Counsel. The purpose of lawyer and judicial disciplinary proceedings is not to “punish” the particular lawyer or judge, but rather, to protect the public and the legal profession. Disciplinary counsel believes there are many circumstances in which education can be as effective in preventing future misconduct as a disciplinary prosecution.

Therefore, the Office of Disciplinary Counsel attempts to accommodate all requests for a member of the office to address groups of lawyers and/or judges on issues relating to legal ethics and the requirements of the Rules of Professional Conduct and the Code of Judicial Conduct.

In addition to the seven training sessions for bar counsel and certified grievance committee conducted throughout Ohio in 2016, the office made presentations at 39 other meetings and events. The undersigned disciplinary counsel and chief assistant disciplinary counsel each participated in 15 speaking engagements during 2016.

D. Abandoned Attorney Files

In accordance with Gov.Bar R. V(26), when a lawyer dies, is suspended, or disbarred, or otherwise abandons his or her client files and there is no partner, executor, or other responsible party available and willing to assume responsibility, the Office of Disciplinary Counsel may take possession of the lawyer’s files, inventory the files, and take actions necessary to protect the interests of clients of the lawyer.

During 2016, the Office of Disciplinary Counsel took possession of approximately 122 boxes of files from three lawyers. Although the number of client files contained in each box may vary significantly, there were an average of 20 to 25 client files per box. During 2016, the Office of Disciplinary Counsel took possession of approximately 2,800 client files.

Upon receipt of the abandoned files, personnel of the Office of Disciplinary Counsel inventory the files and make efforts to locate and contact the lawyer’s former clients to determine whether the client wants ODC to forward the file to him or her or, alternatively, to have the file destroyed. During 2016, at the former clients’ request, Office of Disciplinary Counsel personnel either returned, or destroyed, 1,793 client files that had been recovered from 33 lawyers.

Gov.Bar R. V(26) permits ODC to destroy abandoned client files after a period of seven years, provided that ODC has an inventory of the abandoned files and has made reasonable efforts to locate and contact the lawyers’ former clients.

During 2016, the Office of Disciplinary Counsel destroyed 312 boxes of files belonging to 14 lawyers. These 312 boxes represent approximately 7,200 individual client files. However, ODC still has approximately 2,650 boxes of abandoned files belonging to 79 lawyers, representing approximately 60,000 client files.

GRIEVANCES

In 2016, the Office of Disciplinary Counsel received 2,723 new matters. This figure includes all relevant categories, such as grievances filed against lawyers, judges, magistrates and justices; appeals from dismissals by the certified grievance committees; felony convictions; board cases; Unauthorized Practice of Law investigations and UPL board cases; child support; contempt, reciprocal discipline, retirements, and resignations. Of that total, 1,865 represented grievances originally filed with ODC against lawyers and 491 grievances originally filed against judicial officers.

A total of 1,230 grievances were dismissed at intake or after initial review, of which 842 were against lawyers and 388 were against judicial officers. For administrative reasons, 101 grievances initially received by ODC were transferred to local bar associations for investigation. For the same reason, another 28 grievances were forwarded to the Board for reassignment. The remaining 1,020 grievances were opened for investigation. For a detailed analysis of grievances received in 2016 and opened for investigation, refer to **Table 2** (*p. 9*). The data identify the alleged primary violation and includes information from the prior four calendar years to assist in tracking grievances and reporting trends in the state. **Table 5** (*p. 13*) represents the geographic distribution, by county, of the matters filed with ODC in 2016 based upon the location of the respondent lawyers' principal Ohio law offices.

One violation category, i.e., "Failure to Maintain Funds in a Trust," originally experienced a dramatic increase during the first five years following the 2005 adoption of a statutory requirement mandating that financial institutions notify ODC in each instance in which an IOLTA was overdrawn. In 2006, the number of reported overdrafts was 243 and steadily increased to 382 in 2010. Thereafter, ODC began to experience a reduction in the number of statutory reports with 353 in 2011, 338 in 2012, 254 in 2013, and 237 in 2014. After increasing slightly to 256 in 2015, the number of statutory reports dropped significantly to only 180 matters in 2016.

At the beginning of 2016, there were 594 grievances pending with ODC. As of Dec. 31, 2016, there were 564 grievances pending or under investigation, the fewest amount of year-end pending grievances since 2005.

FORMAL COMPLAINTS AND DISMISSALS

In 2016, ODC filed 39 formal complaints with the Board of Professional Conduct, two of which alleged judicial misconduct. Of the 57 Board cases closed in 2016, 19 were dismissed at various states of the process — seven at the hearing panel stage, 10 by the Board, and two by the Court. Nine of the dismissals resulted from approval of the respondents' application for resignation with "disciplinary action pending." Ten other cases were dismissed as a result of the imposition of an indefinite suspension upon the respondent following his or her failure to participate in the disciplinary proceeding.

APPEALS

The Office of Disciplinary Counsel and 32 certified grievance committees (which are associated with local bar associations across the state) are authorized to receive, investigate and prosecute grievances against Ohio lawyers. If a grievance is initially submitted to and dismissed by any of the certified grievance committees, the grievant has 14 days within which to appeal the dismissal to the director of the Board, who then refers the request for review to ODC. The Office of Disciplinary Counsel is authorized to open a new case and to conduct a separate investigation.

In 2016, ODC received 171 appeals, an increase of three from 2015. During the year, 179 appeals were closed. None of the appeals resulted in ODC filing a formal complaint with the Board. As of Dec. 31, 2016, there were 57 appeals pending..

UNAUTHORIZED PRACTICE OF LAW (UPL)

The Office of Disciplinary Counsel is authorized to receive grievances against an individual or organization who/that is not authorized to engage in the practice of law in Ohio (see Gov.Bar R. VII). The respondent may be a former lawyer no longer licensed under Ohio rules, a lawyer licensed in another jurisdiction but not Ohio, or someone who has never been admitted to the practice of law in any jurisdiction. Also subject to ODC's investigative powers are businesses or other entities that offer legal services without the authority to do so.

The number of UPL grievances received in 2016 totaled 47, an increase of 16 from the 31 grievances received in 2015. During the year, 44 UPLs were closed and, as of Dec. 31, 2016, there were 43 UPL investigations pending. In 2016, no new UPL Board cases were filed. One UPL Board case was closed in 2016.

RECIPROCALLS

Lawyers may be licensed to practice law in multiple state jurisdictions. When a lawyer admitted to the practice of law in Ohio has been sanctioned by another state, the attorney is required to notify both the Office of Disciplinary Counsel and the clerk of the Ohio Supreme Court of the action. In addition, ODC frequently learns of the imposition of discipline in another jurisdiction from the disciplinary agency itself. Once a certified copy of the original disciplinary order is received, the Court may impose a sanction upon the lawyer with either identical or comparable discipline (see Gov.Bar R. V(20)). ODC received six reciprocal discipline matters and closed eight such matters in 2016. The Court sanctioned six lawyers on reciprocal complaints in 2016 (*see Table 3 on p. 10*).

CHILD SUPPORT

If an Ohio lawyer, justice, or judicial officer has been found, in a final and enforceable determination, to be in default of a child support order, the Office of Disciplinary Counsel is authorized under Gov.Bar R. V(18) to pursue an interim suspension. No child support matters were filed by ODC in 2016 and none were pending at the close of the year.

RESIGNATIONS AND RETIREMENTS

Lawyers may submit an application to the Court to resign or retire from the practice of law. Once approved, the retirement or resignation is final and irrevocable and the lawyer is ineligible from seeking readmission or reinstatement to the practice of law in the future. The application contains an affidavit and written waiver permitting the disciplinary counsel to conduct a review of the application to determine whether the application should be granted and, if so, whether it should be classified as a retirement or a resignation with disciplinary action pending.

During the investigation, the Office of Disciplinary Counsel seeks to determine whether the applicant is currently the subject of any disciplinary investigations or proceedings or whether the lawyer is on a disciplinary suspension or probation. If disciplinary action is pending or the lawyer is under a disciplinary suspension or probation, ODC prepares a sealed report to the Office of Attorney Services indicating that the applicant may resign but that the resignation may only be approved with the designation “with Disciplinary Action Pending.” Applicants for retirement or resignation who have no pending disciplinary investigations or proceedings are permitted to retire from the practice of law (*for details, see Gov.Bar R. VI(7)*).

In 2016, 38 retirement or resignation applications were received for review by the Office of Disciplinary Counsel. In 2016, the Court announced 19 resignations with disciplinary action pending and 19 retirements (*see Table 3 on p. 10*). Additionally, one application for retirement or resignation was withdrawn during the review process.

INTERIM SUSPENSIONS

There were 14 interim suspensions decided by the Court in 2016 — five for felony convictions, eight for default, one for interim remedial, and none for mental health.

HEARINGS AND ORAL ARGUMENTS

In 2016, Office of Disciplinary Counsel attorneys appeared at 16 hearings before panels of the Board. ODC attorneys participated in three oral arguments before the Ohio Supreme Court in 2016. As of Dec. 31, 2016, there were 19 cases awaiting hearing dates before the Board and one case awaiting a report from the Board. Four cases were pending oral argument before the Court and 11 cases were pending a decision by the Court.

- TABLE 1 -
A FIVE-YEAR COMPARISON (2012-2016)

	2012	2013	2014	2015	2016
DISPOSITION OF GRIEVANCES					
Dismissed on Intake or After Investigation	2,601	2,466	2,210	2,171	2,054
Pending at End of Year	632	650	668	595	564
CASELOAD COMPARISON					
Grievances Received	2,744	2,679	2,585	2,392	2,356
Appeals Received	221	205	158	168	171
UPLs Received	48	34	45	31	47
Formal Complaints Filed	46	31	46	40	39
SANCTIONS ISSUED					
<small>(SEE TABLE 3 ON P. 11 FOR DETAILS)</small>					
Public Reprimands	0	2	5	6	2
Six-Month Suspensions	11	3	3	4	5
One-Year Suspensions	10	6	4	3	11
18-Month Suspensions	2	0	0	0	0
Two-Year Suspensions	9	2	11	3	10
Indefinite Suspensions	5	7	10	15	10
Interim Default Suspensions	-	7	6	10	8
Interim Felony Suspensions	-	2	8	11	6
Interim Remedial Suspensions	0	0	1	0	1
Mental Health Suspensions	0	1	0	0	0
Disbarments	4	3	2	1	1
Reciprocal Disciplines	10	5	7	1	6
Resignations with Disciplinary Action Pending	20	6	18	20	19
Retirements <small>(Technically, these are not sanctions.)</small>	15	20	19	21	19

- 2013 is the first year ODC began to track Interim Default Suspensions and Interim Felony Suspensions.

- TABLE 2 -

GRIEVANCES RECEIVED AND OPENED FOR INVESTIGATION

A FIVE-YEAR COMPARISON (2012-2016)

Alleged Primary Violation	2012	2013	2014	2015	2016
Neglect/Failure to Protect Interests of the Client	256	320	259	211	262
Failure to Account or Turn Over File	70	61	31	24	26
Improper Withdrawal (Refusal to Withdraw)	9	8	12	7	15
Excessive Fees	76	75	71	61	66
Personal Misconduct	88	126	78	80	65
Misrepresentation/False Statement/Concealment	18	14	17	15	31
Criminal Conviction	5	14	12	33	8
Failure to File Income Tax Returns	0	0	1	0	0
Commingling of Funds	0	0	0	0	0
Conversion	25	18	14	22	17
Embezzlement	1	2	5	1	1
Failure to Maintain Funds in Trust	338	254	237	256	180
Breach of Client Confidence	9	7	6	3	14
Conflict of Lawyer's Interest	36	34	26	19	41
Conflict of Client's Interest	55	35	46	37	53
Communication with Adverse Party Represented by Counsel	13	7	3	5	12
Trial Misconduct	82	71	90	72	101
Failure to Register	0	10	1	2	0
Practicing While Under Suspension	20	11	13	27	10
Assisting in the Unauthorized Practice of Law	5	6	6	4	2
Advertising/Solicitation	21	16	19	10	13
Judicial Misconduct	106	134	92	82	100
Mental Illness	0	0	1	3	2
Substance Abuse	4	5	1	4	1
Other	2	0	1	0	0
TOTAL	1,239	1,228	1,042	978	1,020

- TABLE 3 -

SANCTIONS ISSUED IN 2016 BY THE SUPREME COURT OF OHIO
PURSUANT TO CASES FILED BY DISCIPLINARY COUNSEL

2	PUBLIC REPRIMANDS		10	INDEFINITE SUSPENSIONS	
	Charles G. Mickens	2016-0852		Timothy E. Bellew	2015-1197
	Kierra Smith	2015-1639		Jennifer L. Coriell	2015-1423
5	SIX-MONTH SUSPENSIONS			Raymond T. Lee	2014-1744
	Cannata, Phillips	2015-1316		Patrick Leneghan	2016-0370
	Hector G. Martinez, Jr.	2015-1633		Ronald Rosenfield	2015-1635
	Thomas J. Simon	2014-2155		Sean P. Ruffin	2015-0606
	William Henry Truax	2016-0856		Guy D. Rutherford	2016-0206
	Gerald R. Walton	2016-0538		James Thomas	2015-1001
11	ONE-YEAR SUSPENSIONS			Mark A. Thomas	2015-1547
	Daniel Bennett	2015-2004		Angela M. Whitt	2016-0214
	Aaron J. Brockler	2015-0280	8	INTERIM DEFAULT SUSPENSIONS	
	Timothy Camboni	2015-1314		Mohammed Noure Alo	2015-2053
	Timothy Champion	2016-0851		Timothy E. Bellew	2016-1082
	Edward J. Elum	2016-0848		Jeffrey S. Brumbaugh	2016-1333
	Linda L. Kendrick	2016-0250		Jennifer Coriell	16-004
	Roger Kramer	2015-2000		Michelle L. Demasi	2016-0994
	Gerald W. Salters	2015-1000		Patrick P. Leneghan	2016-0370
	Rasheed A. Simmonds	2016-0259		Guy D. Rutherford	2016-0206
	Shannon Bartels Stewart	2015-1638		Angela M. Whitt	2016-0214
	Ronnie M. Tamburrino	2016-0858	6	INTERIM FELONY SUSPENSIONS	
0	18-MONTH SUSPENSIONS			Joshua S. Albright	2016-0600
10	TWO-YEAR SUSPENSIONS			Mark M. George	B6-2169F
	Arthur Ames	2016-0857		Brian F. McNamee	B6-0731F
	Beverly Corner	2014-1404		Timohty E. Potts	2016-1690
	Raymond L. Eichenberger	2015-1315		Noah T. Stacy	2016-0274
	Daniel J. Guinn	2015-2013		Martin E. Yavorcik	2016-0648
	Jesse Jackson	2015-1004	1	INTERIM REMEDIAL SUSPENSIONS	
	Benjamin Joltin	2016-0261		Mark A. Chuparkoff	2016-1098
	Meredith L. Lawrence	2015-1640	0	MENTAL HEALTH SUSPENSIONS	
	John E. Mahin	2015-1641	1	DISBARMENTS	
	Kenneth J. Warren	2016-0251		Steven J. Terry	2014-2157
	Orlando J. Williams	2015-0293			

- TABLE 3 -

SANCTIONS ISSUED IN 2016 BY THE SUPREME COURT OF OHIO
PURSUANT TO CASES FILED BY DISCIPLINARY COUNSEL

6 RECIPROCAL DISCIPLINES

Lisa Cummings	2016-1340
Steven Dickinson	2016-0973
Ronald Garber	2016-1569
Michael Pearson	2016-1105
Mark A. Thomas	2016-0208
Debbie G. Williams	2016-1102

**19 RESIGNATIONS WITH
DISCIPLINARY ACTION PENDING**

Joshua Albright	2016-0719
Csaba A. Bodor	2016-0886
Jennifer L. Coriell	2016-0800
Darren L. Courtney	2016-0156
Stanlee E. Culbreath	2016-1176
Joseph E. Feighan	2016-1659
Nancy C. Finan (Winters)	2016-0349
Stephanie G. Gussler	2016-1261
Heidi Hanni	2016-1361
James M. Johnson	2016-1177
Dennis M. McGrath	2016-0303
Susan J. Phillips	2016-0811
Dean D. Porter	2016-1768
Brian Richman	2016-1654
Noah T. Stacy	2016-1475
Bruce Stevens	2016-1030
William L. Summers	2016-1397
Paul L. Wallace	2016-1204
Steven Winters	2016-1576

19 RETIREMENTS

Bret A. Adams
Stephen F. Belden
Richard D. Dickey
Robert A. Doren
Richard G. Ellison
Kenneth M. Foley
Harold Hickok
Ralph D. Horn
Patrick F. McCartan
David F. Meekison
Eldon E. Montague
Donald A. Navatsyk
David E. Northrop
Dennis L. Patterson
Marguerite L. Price
Virginia R. Robinson
William J. Morris
William R. Walker
John H. Wolfe

- TABLE 4 -
STATUS OF FORMAL MATTERS PENDING
 AS OF DEC. 31, 2016

Reinstatements	13
<hr/>	
BEFORE THE PROBABLE CAUSE PANEL	
Awaiting Certification to Board	1
Appeal of Panel Dismissal to Full Board	0
<hr/>	
BEFORE THE BOARD OF PROFESSIONAL CONDUCT	
Awaiting Hearing	21
Awaiting Board Report	1
<hr/>	
BEFORE THE SUPREME COURT OF OHIO	
Awaiting Oral Argument	4
Awaiting Supreme Court Decision	14
<hr/>	
TOTAL PENDING	54

- TABLE 5 -

MATTERS RECEIVED BY ODC IN 2016

BASED ON COUNTY OF RESPONDENT'S PRINCIPAL OHIO OFFICE LOCATION

Adams	4	Hamilton	181	Noble	0
Allen	25	Hancock	14	Ottawa	7
Ashland	9	Hardin	0	Paulding	7
Ashtabula	11	Harrison	6	Perry	4
Athens	9	Henry	3	Pickaway	2
Auglaize	1	Highland	7	Pike	4
Belmont	14	Hocking	7	Portage	44
Brown	12	Holmes	1	Preble	3
Butler	40	Huron	1	Putnam	6
Carroll	1	Jackson	3	Richland	20
Champaign	2	Jefferson	7	Ross	10
Clark	15	Knox	5	Sandusky	12
Clermont	11	Lake	45	Scioto	15
Clinton	5	Lawrence	14	Seneca	10
Columbiana	13	Licking	34	Shelby	10
Coshocton	7	Logan	7	Stark	81
Crawford	18	Lorain	47	Summit	144
Cuyahoga	539	Lucas	98	Trumbull	29
Darke	6	Madison	2	Tuscarawas	10
Defiance	4	Mahoning	84	Union	6
Delaware	37	Marion	1	Van Wert	7
Erie	26	Medina	19	Vinton	2
Fairfield	16	Meigs	2	Warren	39
Fayette	5	Mercer	2	Washington	4
Franklin	338	Miami	14	Wayne	10
Fulton	3	Monroe	1	Williams	3
Gallia	4	Montgomery	110	Wood	17
Geauga	24	Morgan	1	Wyandot	3
Greene	14	Morrow	3		
Guernsey	11	Muskingum	22	TOTAL	2,474

- TABLE 6 -
ODC OPERATIONAL EXPENSES FOR 2016-2017 BUDGET
 AS OF DEC. 31, 2016

Category	2016-2017 Budget	Expenses BYTD ¹	% Budget Spent
Payroll (Salaries & Benefits)	\$ 2,491,321	\$ 1,280,516.58	51%
Operating Expenses	\$ 376,550	\$ 189,968.80	50%
Purchased Services	\$ 132,200	\$ 11,912.32	9%
Travel	\$ 75,750	\$ 19,002.43	25%
Furniture, Equipment & Vehicle	\$ 38,000	\$ 3,625.35	10%
Hospitality Hosting	\$ 2,000	\$ 195.90	10%
TOTAL	\$ 3,115,821	\$ 1,505,221.38	48%

¹ Budget Year to Date (i.e., July 1, 2016 through Dec. 31, 2016)



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